

## Abstract

**BACKGROUND:** GPC3 is an oncofetal antigen that is highly expressed in HCC while it is hardly expressed in adult normal tissues except placenta. CYT-303 is a multifunctional bispecific NK cell engager built on our Flex-NK™ scaffold, which engages NK cells through NKp46 and targets GPC3 expressed on tumor cells. Previously, we have reported that CYT-303 showed *in vitro* redirected Hep3B tumor cells cytotoxicity as well as *in vivo* Hep3B tumor growth inhibition with peripheral blood NK cells (PBNK) and our NK cells derived from iPSC (iNK), respectively. Here, we further characterized the *in vivo* CYT-303 dose-response for tumor growth inhibition and its influence on NK cell trafficking and distribution in the blood and the tumor. **METHODS:** CYT-303 pharmacokinetics were evaluated in PBNK injected NOG-hIL15 mice bearing subcutaneous Hep3B tumors (HCC). Anti-tumor efficacy and dose-response of CYT-303 was evaluated in PBNK and iNK injected NSG-IL15 mice bearing subcutaneous HCC tumors. PBNK circulation in blood was analyzed by flow cytometry and CYT-303 distribution in blood and tumor by PK immunoassay. Blood alpha fetoprotein (AFP) was measured by immunoassay. **RESULTS:** Similar CYT-303 PK profile was observed in HCC tumor bearing mice compared to non-tumor bearing mice. In the Hep3B tumor model in PBNK or iNK injected HCC tumor bearing mice, CYT-303 showed dose-dependent tumor growth inhibition compared to control IgG1 treated mice. Consistent with CYT-303 dose-dependent tumor growth inhibition, dose-dependent increases in CYT-303 concentrations were observed in the tumor. Blood NK cell count in CYT-303 treated animals were significantly lower compared to IgG1 isotype control treated mice suggesting CYT-303 may facilitate NK cell trafficking from blood into the tumor. Blood alpha fetoprotein (AFP), a biomarker in HCC, decreased with CYT-303 tumor growth inhibition. **CONCLUSIONS:** Pharmacologically active CYT-303 doses were identified and CYT-303 distribution to the tumor was demonstrated suggesting NK trafficking to the tumor.

Figure 1: CYT-303 mediated NK cell activation in HCC

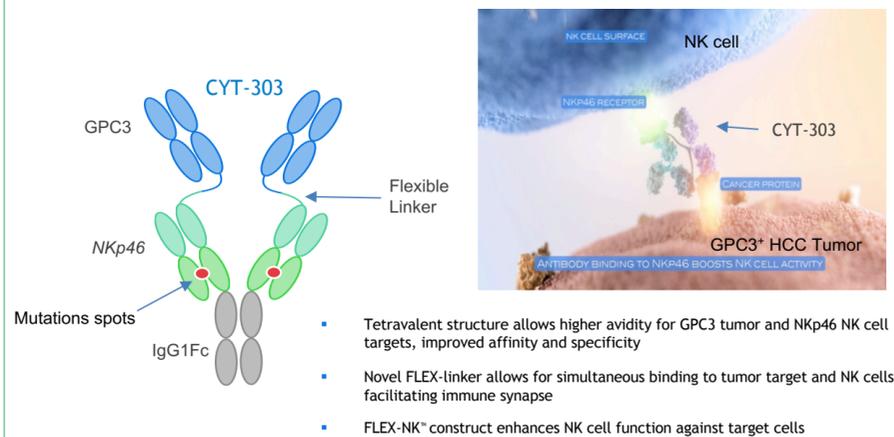


Figure 2: Immunophenotypic profile of iNK cells and PBNK cells

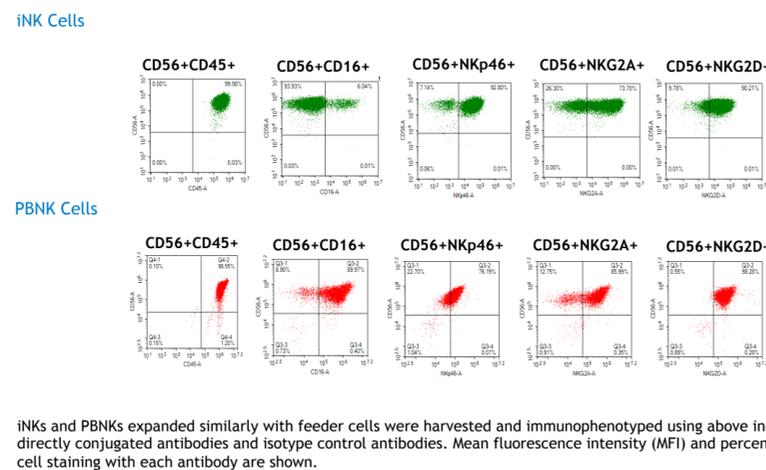
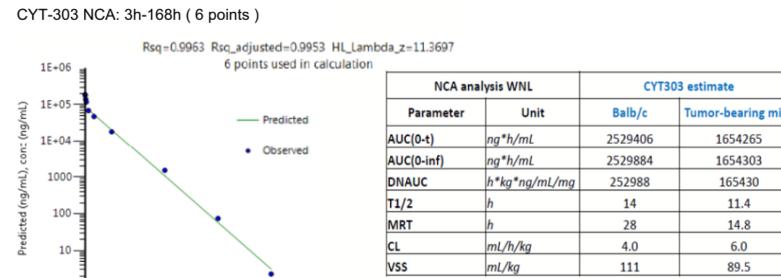


Figure 3: CYT-303 pharmacokinetic profile in HCC tumor bearing mice



A single 10 mg/kg CYT-303 intravenous bolus dose was administered to PBNK injected NOG-hIL15 mice bearing small Hep3B tumors; Blood was collected at the indicated times, measured using a CYT-303 target capture and detect PK immunoassay, and analyzed using WinNonlin non-compartmental analysis.

Figure 4: CYT-303 combination with PBNK and iNK cells show dose-dependent tumor growth inhibition in HCC tumor bearing mice

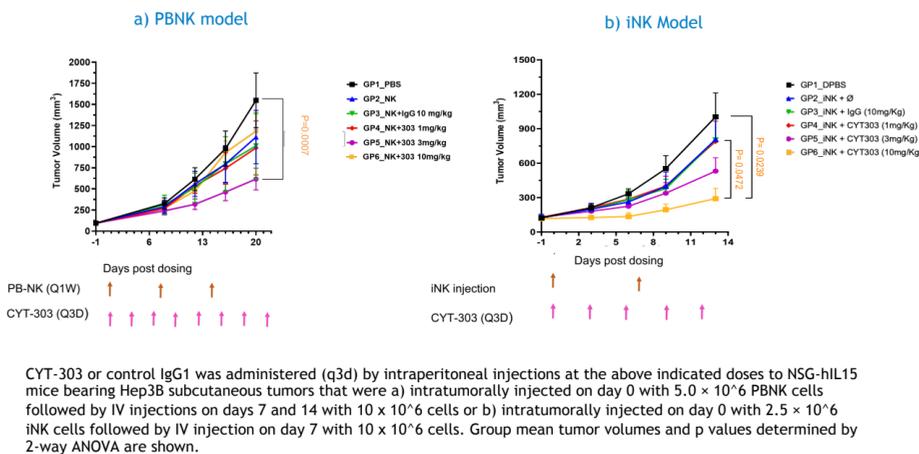
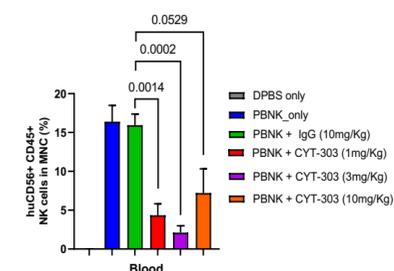
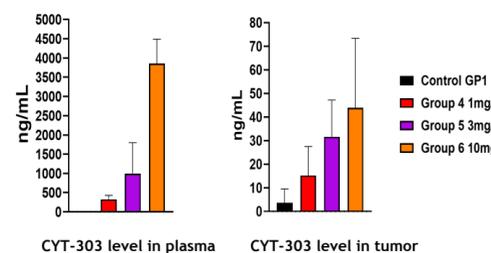


Figure 5: CYT-303 treatment showed reductions in blood PBNK cells suggesting trafficking to tumors



PBnk circulation in blood was analyzed by flow cytometry. Blood NK cell count in CYT-303 treated animals were significantly lower compared to IgG1 isotype control treated mice suggesting CYT-303 may facilitate NK cell trafficking from blood into the tumor.

Figure 6: CYT-303 levels in plasma & tumor provide a correlation between efficacious concentrations in blood and tumor



CYT-303 plasma and intratumoral levels were measured 24 hrs post last CYT-303 dose in the NSG-hIL15 Hep3B tumor model using PK immunoassay.

Figure 7: CYT-303 binding and cytotoxicity dose-responses with PBNK and iNK cells are consistent with the dose-responses observed in the HCC tumor models

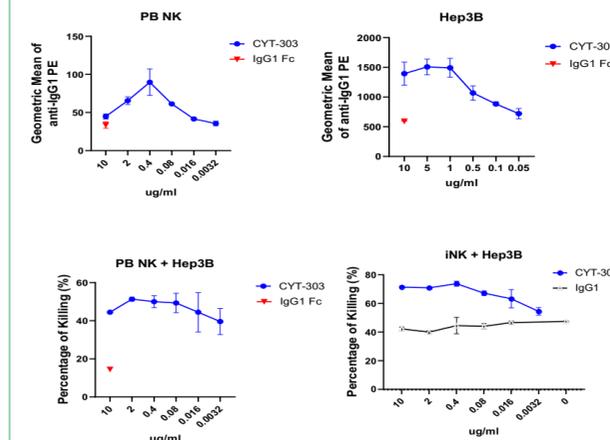
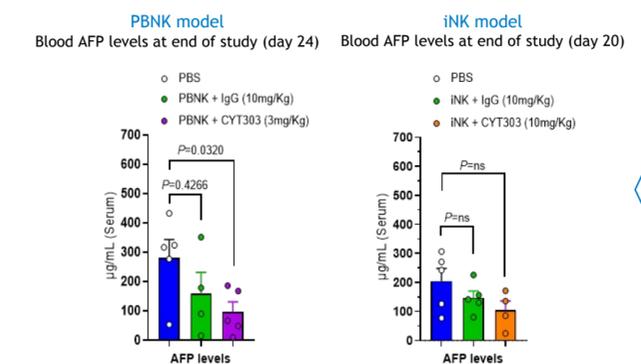


Figure 8: Alpha Fetoprotein level reductions following CYT-303 treatment in HCC tumor models



## Conclusions

- CYT-303 showed dose-dependent HCC tumor growth inhibition in PBnk and iNK cell injected HCC tumor models.
- Dose-dependent increases in CYT-303 concentrations in tumor and blood were observed in the PBnk injected HCC tumor model showing the potential for CYT-303 to penetrate solid tumors.
- CYT-303 treated animals showed significant decreases in blood PBNKs suggesting CYT-303 may facilitate trafficking of these cells from blood to the tumor.
- Bell shaped dose-response observed with CYT-303 monotherapy in the PBnk injected HCC tumor model is consistent with CYT-303 *in vitro* studies showing similar dose-responses for PBnk and HCC tumor binding and tumor cytotoxicity.
- The linear dose-response observed with CYT-303 combination therapy with iNK cells in the HCC tumor model is consistent with the *in vitro* linear dose-response observed with iNK combination for cytotoxicity of HCC tumors.
- CYT-303 treatment resulted in reductions in blood AFP levels in both the PBnk and iNK injected HCC tumor models showing the utility of this biomarker for CYT-303 clinical studies.
- These CYT-303 preclinical proof-of-concept studies support clinical development of CYT-303 in HCC.